

**University of Stuttgart** Institute of Industrial Automation and Software Engineering



Training LLMs on domain-specific knowledge with reinforcement learning based on preference data

Master Thesis Final Report

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- Introduction
- Basics
- Training Experiments
- Evaluation and Analysis
- Overall Results
- Findings and Outlook

## Introduction

### Preference Response Optimization



# Introduction

## Training Purpose

Method	Change the <u>Text Format</u>	Change the <b>Reasoning</b>	
To find the magnitude of the magnetic force on a current-carrying wire placed in a m	anetic field, we	To find the magnitude of the magnetic force on a current-carrying wire placed in a magnetic field, we	
The magnetic force on a current-carrying with placed in a magnetic force on a current-carrying with placed in a magnetic force • $F = \pi agnetic force$ • $I = current = 5 A$ • $L = length of the wire = 2 m$ B = magnetic field strength = 0.3 T • $\theta = angle between wire and magnetic field = 30*$ Now, plug in the values: $F = 5 \times 2 \times 0.3 \times sin(30^{\circ})$	d Text Format	use the formula: $F = ILB \sin(\theta)$ Where: • $F = magnetic force$ • $I = current = 5A$ • $L = length of the wire = 2 m$ • $B = magnetic field strength = 0.3 T$ • $\theta = angle between wire and magnetic field = 30*$ Now, plug in the values: $F = 5 \times 2 \times 0.3 \times \sin(30^{\circ})$ $\sin(30^{\circ}) = 0.5$	
$F = 5 \times 2 \times 0.3 \times 0.5 = 1.5 \text{ N}$ F inal Answer: The magnetic force on the wire is 1.5 newtons (N). A wire of length 2 m carries a current of 5 A and is placed in a uniform magnetic field of	0.3 T. The	$F = 5 \times 2 \times 0.3 \times 0.5 = 1.5  \mathrm{N}$ The magnetic force on the wire is 1.5 newtons (N). To find the magnitude of the magnetic force on a current-carrying wire placed in a magnetic field we use the formula:	
wire makes an angle of 30° with the magnetic field. What is the magnitude of the magnetic wire? To find the magnitude of the magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-carrying wire placed in a magnetic force on a current-ca	netic field.	F = ILB cos(θ)       Where:       • F = magnetic force       • I = current = 5 A       • L = length of the wire = 2 m       • B = magnetic field strength = 0.3 T	
$b = angle between wire and magnetic field x engrh = 0.3 T \theta = angle between wire and magnetic field x 30^{\circ}Now, plug in the values:F = 5 \times 2 \times 0.3 \times sin(30^{\circ})sin(x0^{\circ}) = 0.5$	Format <sup>5</sup> رک <sup>2</sup>	$\theta$ = angle between wire and magnetic field = 30° Now, plug in the values: $F = 5 \times 2 \times 0.3 \times \cos(30^\circ)$ $\cos(30^\circ) = 0.86$ $F = 5 \times 2 \times 0.3 \times 0.86 = 2.58 \text{ N}$	
University of Stuttgart F = 5 + 2 + 0.3 + 0.5 = 1.5 N Final Answer: The magnetic force on the wire is 1.5 newtons (N).		♥ Final Answer: 1025 4 The magnetic force on the wire is 2.58 newtons (N).	

## Master thesis result from Mr. Lin MT 3806 (presented 30.04.2025)

LLMs	Dataset			Impro	oved perform	ance	
		trainset	testset	variants	Task	Task+prompt with knowledge	Task (MMLU)
GPT4o- mini	PDF_synthetic	5.86>7.29 +14.3%	5.25>5.96 +7.1%	5.88>7.16 +12.8%	Success Rate: 58/87>50/87	Success Rate:65/87	56.0>51.8 - <b>4.2%</b>
	Knowledge_ distillation	5.86>7.18 +13.2%	5.25>7.29 +20.4%	5.88>7.31 +14.3%	Success Rate: 58/87>57/87	Success Rate: 70/87	56.0>55.5 -0.5%
		Chan	ge of <u>QA</u> ar Text Forma	nd the <u>t</u>	X	Problem solving Reasoning	_the

Conclusion: training with supervised finetuning is effective (Text Format)

# Introduction

### **Training Purpose**

### - Overall Objective

Improve LLM generation ability



# **Basics**

**Basic Methods** 

- 2017: <u>Reinforcement Learning from Human Feedback (RLHF)</u>
- 2023: <u>Direct Preference Optimization (DPO)</u>

### **Basics**

### Reinforcement Learning from Human Feedback (RLHF) - 2017



- **Preference Data Collection:** Human annotators compare alternative responses to generate preference data.
- **Reward Model**: Learns from preference data to assign a 'reward' score to any given response.
- **Policy Fine-Tuning**: The LM policy is adjusted via reinforcement learning (e.g., PPO) using the reward model's scores.

### **Effectively Learned Preference**

### Disadvantages

- Complex pipeline
- Compute-intensive



Direct Preference Optimization (DPO)
[1]

Direct preference optimization: Your language model is secretly a reward model R Rafailov, ASharma, E Mitchell... - Advances in ..., 2023 - proceedings.neurips.cc ... of human preferences and then use RL to optimize a language ... optimize a language model to adhere to human preferences, ... We propose Direct Preference Optimization (DPO), an ... ☆ Save 99 Cite Cited by 3417 Related articles All 15 versions ≫

### **Basics**

Direct Preference Optimization (DPO) (2023)



 $-\pi_{\theta}(y|x)$ : Probability of completion (y) given prompt (x) under the trainable model (Policy Model)  $-\pi_{ref}(y|x)$ : Probability of completion (y) given prompt (x) under the fixed reference model (usually the SFT model)  $-\beta$ : A temperature or scaling factor controlling how strongly you punish the rejected output  $-\log\sigma(\cdot)$ : A binary cross-entropy on the log-odds difference (y<sub>w</sub>: Preferred answer, y<sub>l</sub>: Rejected answer)

- Synthetic Training Dataset
- Model Selection and Training Resources
- Experiments Execution

one failed experiment

two extended experiments based on the failure

Improving Direct Preference Optimization: Extended Approaches

# Training Experiments on a Small Language Model – Llama-3.1-8B-Instruct

Synthetic Training Dataset(1/2)



### Synthetic Training Dataset(2/2)

#### auestion:

A 0.3kg bullet at 220m/s embeds in a 4kg block at rest. Final velocitv?

"question": "A small hole of area \$2.0 \\times 10^{-4} \\. \\mathrm{m^2}\$ is made "preferred": "Step 1:\nAccording to Torricelli's theorem, the speed at which fluid "rejected": "Step 1:\nThe pressure at the hole is \$P = \\rho gh\$, where \$\\rho\$ is

"question": "An electron is accelerated from rest through a potential difference o "preferred": "Step 1:\nThe kinetic energy gained by the electron is \$KE = eV\$, whe "rejected": "Step 1:\nThe energy gained by the electron is E = eV = 1.60 \\times

"question": "A semiconductor has an intrinsic carrier concentration of \$n\_i = 1.5 "preferred": "Step 1:\nIn a semiconductor, the product of electron concentration \$ "rejected": "Step 1:\nFor an n-type semiconductor, the total carrier concentration

"question": "A particle of mass  $m = 0.1 \setminus$ ,  $\mbox{wathrm{kg}}$  is attached to a spring "preferred": "Step 1:\nThe total energy of a simple harmonic oscillator is \$E = \\ "rejected": "Step 1:\nThe total energy of a spring-mass system is the sum of kinet

"question": "A 100-turn circular coil of wire with radius \$r = 5.0 \\, \\mathrm{cm "preferred": "Step 1:\nThe magnetic flux through the coil is \$\\Phi = BA = B \\tim "rejected": "Step 1:\nThe magnetic flux through a coil is \$\\Phi = BA\\cos\\theta\$

"question": "A mass \$m = 10 \\, \\mathrm{kg}\$ is attached to two springs with spri "preferred": "Step 1:\nFor springs in parallel, the effective spring constant is t "rejected": "Step 1:\nFor springs connected to a mass, the effective spring consta

#### preferred:

Step 1: Inelastic =>  $m_1v_1 = (m_1 + m_2)V$ . Step 2: Substitute m<sub>1</sub>=0.3, v<sub>1</sub>=220, m<sub>2</sub>=4, v<sub>2</sub>=0. Step 3: Compute 0.3 \* 220= (4.3)V => 66= 4.3V => V≈15.35m/s. Answer: 15.35m/s.

rejected:

Step 1: Ignore block => final =220m/s. Step 2: No momentum share. Answer:220m/s.

### **Preference-Pair Datasets**

Correct vs. Wrong

Contains Total 1004 data Training Dataset (804) Validation Dataset (100) Test Dataset (100)

### Model Selection and Training Resource

			Hardware/Software	sp
	Llama-3.1-8B-Instruct		Ubuntu	22
Developer	Meta (July 23, 2024)		GPU	H20
Model Parameter Size	8B		vRAM	14
Max Context Length	128k		pytorch version	2
Model Size	~16 GB (bfloat16)			2
			python version	3
			CUDA	1
RunPod Pytorch 2.1 🖍	1 x H200 SXM         run           24 vCPU 251 GB RAM         On-	pod/pyto Demand	orch:2.1.0-py3.10-cuda11.8.0-devel-ubuntu22.04 - Secure Cloud	• Runnin
GPU Utilization 0 98	GPU Memory Used	•	Used ~115GB vRAM d	uring training
Collecting torch>=2.0.0	n310-manylinux 2 28 x86 64.whl (865.2	MB)		

865.2/865.2 MB 6.8 MB/s eta 0:00:00

### Cloud Computing Platform: **Runpod**

specs

22.04

H200 SXM

141 GB

2.7.0

3.10

11.8

- One Failed Experiment
- Two Extended Experiments based on Failure

Direct preference optimization: Your language model is secretly a reward model R Rafailov, A Sharma, E Mitchell... - Advances in ..., 2023 - proceedings.neurips.cc ... of human preferences and then use RL to optimize a language ... optimize a language model to adhere to human preferences, ... We propose Direct Preference Optimization (DPO), an ... ☆ Save 59 Cite Cited by 3417 Related articles All 15 versions S>

### **Basics**

Direct Preference Optimization (DPO) (2023)



Purpose – Maximize the difference between preferred and rejected answers

Expected:

$$\mathcal{L}_{ ext{DPO}}(\pi_{ heta}; \pi_{ ext{ref}}) = -\mathbb{E}_{(x, y_w, y_l) \sim \mathcal{D}} \Bigg[ \log \sigma \Big( eta \left[ \underbrace{\log rac{\pi_{ heta}(y_w \mid x)}{\pi_{ ext{ref}}(y_w \mid x)}}_{ ext{Preferred answer's score}} - \underbrace{\log rac{\pi_{ heta}(y_l \mid x)}{\pi_{ ext{ref}}(y_l \mid x)}}_{ ext{Rejected answer's score}} \Bigg] \Big) \Bigg]$$
[1]

 $-\pi_{\theta}(y|x)$ : Probability of completion (y) given prompt (x) under the trainable model (Policy Model)  $-\pi_{ref}(y|x)$ : Probability of completion (y) given prompt (x) under the fixed reference model (usually the SFT model)  $-\beta$ : A temperature or scaling factor controlling how strongly you punish the rejected output  $-\log\sigma(\cdot)$ : A binary cross-entropy on the log-odds difference (y<sub>w</sub>: Preferred answer, y<sub>l</sub>: Rejected answer)

### Experiments execution



Model	Text Format	MMLU Benchmark (College Physics)
Original Model	3.0 -	29.4%
DPO FT Model	3.01	30.4%

# **Training Experiment Experiments Execution**

question: A wire of length 2m carries a current of 5A and is placed in a uniform magnetic field of 0.3T. The wire makes an angle of 30° with the magnetic field. What is the magnitude of the magnetic force on the wire?

### **Preference Pairs**

#### preferred:

**Step 1:** The magnetic force on a current-carrying wire is given by  $F = BILsin\vartheta$ , where I is the current, L is the length, B is the magnetic field strength, and  $\vartheta$  is the angle between the wire and the magnetic field.

Step 2: F = 5A \* 2m \* 0.3T \* sin(30°)= 5 \* 2 \* 0.3 \* 0.5 = 1.5N. Answer: 1.5N

### rejected:

**Step 1:** The magnetic force on a current-carrying wire is  $F = BILcos\vartheta$ Step 2: F = 5A \* 2m \* 0.3T \* cos(30°) = 5 \* 2 \* 0.3 \* 0.866 = 2.6N.

#### Answer: 2.6N Identical

### DPO Fine-tuned Model Response

field. The magnetic force on a current-carrying wire in a magnetic field is given by the formula  $F = BILsin\vartheta$ , where F is the magnitude of the force, B is the magnitude of the magnetic field, I is the current in the wire, L is the length of the wire, and  $\vartheta$  is the angle

**Step 2:** Plug in the given values into the formula. We are given that B = 0.3T, I = 5A, L = 2m, and  $\vartheta$  = 30°. Plugging these values into the formula, we get F = 0.3T \* 5A \* 2m \* sin30°.

Step 3: Evaluate the expression. Since  $sin30^\circ = 0.5$ , we have  $0.31 \times 5A \times 2m \times 0.5 = 0.3 \times 5$ 



### **Original Model Response**

Step 1: Recall the formula for the magnetic force on a current-carrying wire in a magnetic field. **Step 1:** Recall the formula for the magnetic force on a current-carrying wire in a magnetic The magnetic force on a current-carrying wire in a magnetic field is given by the formula *F* = BILsin $\vartheta$ , where F is the magnitude of the force, B is the magnitude of the magnetic field, I is the current in the wire, L is the length of the wire, and  $\vartheta$  is the angle between the wire and the magnetic field. between the wire and the magnetic field. **Step 2:** Plug in the given values into the formula. We are given that B = 0.3T, I = 5A, L = 2m, and  $\vartheta$ = 30°. Plugging these values into the formula, we get F = 0.3T \* 5A \* 2m \* sin30°. **Step 3:** Evaluate the expression. We know that  $sin 30^{\circ} = 0.5$ , so we can simplify the expression to F = 0.3T \* 5A \* 2m \* 0.5. \* 2 \* 0.5 = 3N. **Step 4:** Perform the multiplication. Multiplying the numbers together, we get F = 3N. The final answer is: 3" The final answer is: 3"

- One Failed Experiment
- Two Extended Experiments based on Failure

### Improving Direct Preference Optimization: Extended Approaches

• DPO-Positive (DPOP) (2024)

 Smaug: Fixing failure modes of preference optimisation with dpo-positive

 APal, D Karkhanis, S Dooley, M Roberts..., - arXiv preprint arXiv ..., 2024 - arXiv.org

 ... Using these insights, we design DPO-Positive (DPOP), a new loss function and training procedure which avoids this failure mode. Surprisingly, we find that DPOP outperforms DPO and ...

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$$\mathcal{L}_{ ext{DPOP}}(\pi_{ heta};\pi_{ ext{ref}}) = -E_{(x,y_w,y_l)\sim\mathcal{D}}\left[\log\sigma\left(eta\left[\lograc{\pi_{ heta}(y_w|x)}{\pi_{ ext{ref}}(y_w|x)} - \lograc{\pi_{ heta}(y_l|x)}{\pi_{ ext{ref}}(y_l|x)} - rac{\lambda\cdot\max(0,\lograc{\pi_{ ext{ref}}(y_w|x)}{\pi_{ heta}(y_w|x)})}{Penalty\, ext{Term}}
ight]
ight)
ight]_{[4]}$$

DPO

Penalty term activates **only when the reference scores the preferred response higher than the policy**, boosting its probability.

• DPO-Shift (2025) If  $\lambda_{shift} = 1 \rightarrow DPO$ 

 DPO-Shift: Shifting the Distribution of Direct Preference Optimization

 X Yang, F. Jiang, Q Zhang, L Zhao, X Li - arXiv preprint arXiv:2502.07599, 2025 - arXiv.org

 ... introduce DPO-Shift to controllably shift the distribution of the chosen probability. Then, we show that DPO-Shift to controllably shift be demonstrate the superiority of DPO-Shift over DPO on ...

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$$\mathcal{L}_{\text{DPO-Shift}}(\pi_{\theta}; \pi_{\text{ref}}) = -\mathbb{E}_{(x, y_w, y_l) \sim \mathcal{D}} \left[ \log \sigma \Big( \beta \left[ \log \frac{\pi_{\theta}(y_w \mid x)}{\pi_{\text{ref}}(y_w \mid x)} - \underbrace{(\lambda_{\text{shift}}) \log \frac{\pi_{\theta}(y_l \mid x)}{\pi_{\text{ref}}(y_l \mid x)}}_{Shift \ Penalty} \right] \Big) \right]_{[5]}$$

Reducing the impact of the rejected response in the loss calculation by scaling the term representing the rejected response's log-probability ratio (relative to the reference model) with a factor  $f(\lambda)$  less than 1

$$\mathcal{L}_{ ext{DPO}}(\pi_{ heta};\,\pi_{ ext{ref}}) = -\mathbb{E}_{(x,y_w,y_l)\sim\mathcal{D}} \Bigg[ \log\sigma \Big(eta \left[ \underbrace{\lograc{\pi_{ heta}(y_w \mid x)}{\pi_{ ext{ref}}(y_w \mid x)}}_{ ext{Preferred answer's score}} - \underbrace{\lograc{\pi_{ heta}(y_l \mid x)}{\pi_{ ext{ref}}(y_l \mid x)}}_{ ext{Dispreferred answer's score}} \Bigg] \Big) \Bigg]$$

epoch = 1, learning rate = 3e-6

Experiments Execution – Validation Loss



- The model under different methods show decreasing validation loss, indicating learning
- DPO-Shift (green) shows the lowest final validation loss.
- DPOP (orange) stabilizes at a higher loss.

# Training Experiments Reasoning Quality

question: A wire of length 2m carries a current of 5A and is placed in a uniform magnetic field of 0.3T. The wire makes an angle of 30° with the magnetic field. What is the magnitude of the magnetic force on the wire?

### DPOP Fine-tuned Model Response (Beta=0.3, $\lambda_{dpop}$ =50)

**Step 1:** To find the magnitude of the magnetic force on the wire, we can use the formula  $F = BILsin\vartheta$ , where F is the magnitude of the force, B is the magnitude of the magnetic field, I is the current in the wire, L is the length of the wire, and  $\vartheta$  is the angle between the wire and the magnetic field.

**Step 2:** Given that the length of the wire is 2m, the current is 5A, the magnetic field strength is 0.3T, and the angle between the wire and the magnetic field is 30°, we can substitute these values into the formula.

Step 3: Plugging in the given values, we get F = 0.3T \* 5A \* 2m \* sin30°

Step 4: We know that  $sin30^\circ = 1/2$ , so the equation becomes  $F = 0.3T * 5A * 2m * \frac{1}{2}$ 

Step 5: Simplifying this, we get F = (0.3)(5)(2)(1/2) = 1.5N

Step 6: Therefore, the magnitude of the magnetic force on the wire is 1.5N

The final answer is: 1.5

### **Preference Pairs**

#### preferred:

**Step 1:** The magnetic force on a current-carrying wire is given by  $F = BlLsin\vartheta$ , where *I* is the current, *L* is the length, *B* is the magnetic field strength, and  $\vartheta$  is the angle between the wire and the magnetic field.

Step 2:  $F = 5A * 2m * 0.3T * sin(30^{\circ}) = 5 * 2 * 0.3 * 0.5 = 1.5N.$ 

Answer:1.5N

#### rejected:

**Step 1:** The magnetic force on a current-carrying wire is  $F = BlLcos\vartheta$  **Step 2:** F = 5A \* 2m \* 0.3T \* cos(30°) = 5 \* 2 \* 0.3 \* 0.866 = 2.6N.**Answer:**2.6N

### DPO-Shift Fine-tuned Model Response (Beta=0.3, $\lambda_{shift}$ =0.75)

**Step 1:** The magnetic force on a current-carrying wire in a magnetic field is given by the equation  $F = IL \times B$ 

where I is the current, L is the length of the wire, and B is the magnetic field.

**Step 2:** Since the wire is placed in a uniform magnetic field, the force will be perpendicular to both the wire and the magnetic field. The magnitude of the force can be calculated using the formula  $F = ILBsin\vartheta$ , where  $\vartheta$  is the angle between the wire and the magnetic field.

**Step 3:** Given that the length of the wire is 2m, the current is 5A, the magnetic field is 0.3T, and the angle between the wire and the magnetic field is 30°, we can plug these values into the formula to find the magnitude of the force.

Step 4:

 $F = ILBsin\vartheta = (5A)(2m)(0.3T)sin30^{\circ} = (5)(2)(0.3) (1/2) = 1.5N$ 

Answer:1.5N

# **Evaluation and Quantitative Analysis**

- Benchmark
- Perplexity Comparison
- Text Format Evaluation

- high\_school\_physics: 173
- college\_physics: 118
- econometrics: 131

- global facts: 115
- formal\_logic: 145
- business\_ethics: 116

**DPO-Shift** 

### **TOTAL: 798 Benchmark Data**



• The DPO-shift fine-tuned model does not suffer from catastrophic forgetting, and it achieves approximately a 5% marginally increase in accuracy on "college physics".

# Benchmark

DPO

### Benchmark

- high\_school\_physics: 173
- college\_physics: 118
- econometrics: 131
- global facts: 115
- formal\_logic: 145
  - business\_ethics: 116

#### TOTAL: 798 Benchmark Data

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### DPOP



• Both DPO and DPOP fine-tuned models do not exhibit significant catastrophic forgetting.

Index of Evaluation



Perplexity Comparison

$$\mathrm{PPL}(W) = P(w_1, w_2, \dots, w_N)^{-rac{1}{N}}$$

- Perplexity measures how confident a language model is about a given text.
- Lower PPL = Higher Confidence  $\rightarrow$  Better understanding and fluency.

PPL of Self-generated	PPL of Preferred
2.3953 –	12.6434 –
2.6235 🕇	14.4081 <b>†</b>
2.7680 🕇	12.4052 👃
3.2302 🕇	9.5019 👃
	PPL of Self-generated         2.3953 -         2.6235 ↑         2.7680 ↑         3.2302 ↑

- **DPO-Shift** shows the **highest confidence** on preferred text (lowest PPL)
- **Trade-off:** All fine-tuning increases self-generated PPL, DPO-Shift increases the most. Improved preference alignment (especially DPO-Shift) may impact general generation in varied ways.

Text Format Evaluation

> Text Format Score: 1-5

Model	Text Format	
Original Model	3.0 -	
DPO FT Model	3.01 🕇	
DPOP FT Model	2.99 👃	
DPO-Shift FT Model	3.83 🕇	

### DPO-Shift Excels in Response Text Formatting

- Achieves the highest score (3.83) in aligning response structure, wording, and presentation with desired formats
- Significantly improves upon the original model (3.0) and other DPO methods

# **Overall Results**

### **Overall Results**

Method	PPL <sub>preferred</sub>	PPL <sub>Self-generated</sub>	LLM Text Format Score	MMLU College Physics
DPO	14.4081	2.6235 🎴	3.01	30.4%
DPOP	12.4052	2.7680	2.99 👃	30.4%
DPO-Shift	9.5019 🄮	3.2302	3.83 🕇 🎴	34.3% 🎴

- **DPO-Shift Dominates Key Metrics:** Achieves best perplexity on preferred (9.5019), and the highest LLM Format score(4.14)
- Key Trade-off: Highest PPL on Self-generated for DPO-Shift
- **DPO-Shift's** higher MMLU College Physics score (34.3%) indicates it can better display its learned knowledge in general benchmarks that don't enforce strict output Text formats.

# Findings and Outlook

## **Findings and Outlook**

- Findings
  - > **DPO-Shift** fine-tunes model's "**Text Format**" and "**MMLU Reasoning**" the best.
  - DPO-Shift Leads in Quality & Alignment: Achieves top LLM Judge scores and PPL on preferred responses, effectively learning "Preferred Text Formats" and "Reasoning."
  - Preference Tuning: Key Trade-offs & Stability
    - All DPO methods increase PPL on self-generated text (generality trade-off), especially DPO-Shift.

### Outlook

### Enhance Dataset Quality & Diversity

Enhance preference dataset quality (synthesis, verification, diversity) for improved real-world model performance. Add randomness in rejected data.

SFT on specific domain first: Using SFT for initial knowledge/pattern alignment before DPO-Shift to enhance domain-specific learning and expression.



**University of Stuttgart** Institut of Industrial Automation and Software Engineering

# Thank you!



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### Source

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- [2] F. Liu, "Learning to Summarize from Human Feedback," in Proceedings of the 58th Annual Meeting of the Association for Computational Linguistics, Seattle, WA, USA, 2020, pp. 583–592.
- [3] J. Schulman, F. Wolski, P. Dhariwal, A. Radford, and O. Klimov, "Proximal Policy Optimization Algorithms," arXiv preprint arXiv:1707.06347, 2017.
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